

RECEIVED

(Modified) PTO/SB-17 (12-98)

Approved for use through 09/30/2000 OMB 0651-0032
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, persons are required to respond to a collection of information unless it displays a valid OMB control number

FEE TRANSMITTAL
TECH CENTER
for FY 2000Patent fees are subject to annual revision
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB-09-12.**Complete if Known**

Application Number	09/539,486
Filing Date	March 30, 2000
First Named Inventor	Sergey A. Selifonov
Examiner Name	Unassigned
Group / Art Unit	Unassigned
Attorney Docket No.	02-028940US

TOTAL AMOUNT OF PAYMENT (\$) **25.00****METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit
Account
Number
Deposit
Account
Name

50-0893

Law Offices of Jonathan Alan

- ☒
- Charge Any Additional
-
- Fee Required Under
-
- 37 CFR 1.16 and 1.17

- 2.
- ☐
- Payment Enclosed:**
-
- ☐
- Check
- ☐
- Money
-
- Order
- ☐
- Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$) **2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	
Multiple Dependent	-3** =	X	

**or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) **FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 380	216 190	Extension for reply within second month	
117 870	217 435	Extension for reply within third month	
118 1,360	218 680	Extension for reply within fourth month	
128 1,850	228 925	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) <u>Request for corrected filing receipt</u>			25.00
Other fee (specify) _____			
SUBTOTAL (3) (\$) 25.00			

Reduced by Basic Filing Fee Paid

SUBMITTED BYTyped or
Printed Name

Jonathan Alan Quine

Signature

Jonathan Alan Quine

Date

August 7, 2000

Complete (if applicable)

Reg. Number

41,261

Deposit Account
User ID**CERTIFICATE OF MAILING**I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on this date: August 7, 2000Typed or
Printed Name

Alexandra Allison

Signature

Alexandra Allison

Date

August 7, 2000



I hereby certify that this correspondence is being deposited with the United States Postal Service first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, on August 7, 2000

Attorney Docket No. 02-028940US

LAW OFFICES OF JONATHAN ALAN QUINE

By Alexandra Allison
Alexandra Allison

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sergey A. Selifonov, et al.

Examiner: Unassigned

Application No.: 09/539,486

Art Unit: Unassigned

Filed: March 30, 2000

REQUEST FOR CORRECTED FILING RECEIPT

For: **Methods for Making Character Strings,
Polynucleotides and Polypeptides Having Desired
Characteristics**

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the applicant's information should read as follows:

LORRAINE J. GIVER, SANTA CLARA, CA

WHICH ARE CIPS OF 09/416,375 10/12/1999

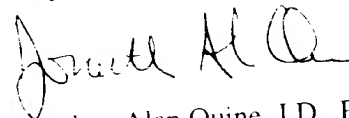
THIS APPLICATION IS ALSO RELATED TO 09/408,393 09/28/1999

The correction is due to an error by the applicant and the fee therefor, pursuant to 37 CFR § 1.19(h), of \$25.00 is to be charged to Deposit Account No 50-0893. Please charge any

Sergey A. Selifono et al.
Application No.: 09/539,486
Page 2

additional fee or credit overpayment to the Deposit Account No. 50-0893. This petition is submitted in duplicate.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Jonathan Alan Quine", with a stylized flourish at the end.

Jonathan Alan Quine, J.D., Ph.D.
Reg. No. 41.261

LAW OFFICES OF JONATHAN ALAN QUINE
P.O. BOX 458
Alameda, CA 94501
(510) 337-7871
Fax (510) 337-7877

FILING RECEIPT



OC000000005257760

UNITED STATES DEPARTMENT OF
COMMERCE

Patent and Trademark Office

Address ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/539,486	03/30/2000	1651	2302	02-028940US	15	98	4

22798

LAW OFFICES OF JONATHAN ALAN QUINE
P O BOX 458
ALAMEDA, CA 94501

TECH CENTER 1600/2900

Date Mailed: 07/21/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

SERGEY A. SELIFONOV, LOS ALTOS, CA ;
WILLEM P.C. STEMMER, LOS GATOS, CA ;
CLAES GUSTAFSSON, BELMONT, CA ;
MATTHEW TOBIN, SAN JOSE, CA ;
STEPHEN DEL CARDAYRE, BELMONT, CA ;
PHILLIP A. PATTEN, MENLO PARK, CA ;
JEREMY MINSHULL, MENLO PARK, CA ;
LORI GIVER, SUNNYVALE, CA ;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/494,282 01/18/2000
WHICH IS A CIP OF PCT/US00/01202 01/18/2000
~~WHICH IS A CIP OF 09/416,375 10/12/1999~~
WHICH CLAIMS BENEFIT OF 60/116,447 01/19/1999
AND CLAIMS BENEFIT OF 60/118,854 02/05/1999
THIS APPLICATION 09/539,486
IS A CIP OF 09/484,850 01/18/2000
AND A CIP OF PCT/US00/01203 02/18/2000
WHICH IS A CIP OF 09/408,392 09/28/1999
WHICH CLAIMS BENEFIT OF 60/118,813 02/05/1999
AND CLAIMS BENEFIT OF 60/141,049 06/24/1999
THIS APPLICATION 09539486
IS A CIP OF PCT/US00/01138 01/18/2000
WHICH IS A CIP OF 09/416,837 10/12/1999

Foreign Applications

If Required, Foreign Filing License Granted 05/19/2000



RECEIVED

Title

METHODS FOR MAKING CHARACTER STRINGS, POLYNUCLEOTIDES AND
POLYPEPTIDES HAVING DESIRED CHARACTERISTICS

Preliminary Class

435

TECH CENTER 1600/2900

Data entry by : SYDNOR, RUTH

Team : OIPE

Date: 07/21/2000



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

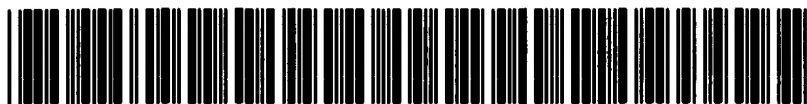
PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.

- The words "**new**," "**improved**," "**improvements in**" or "**relating to**" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Creation date: 08-28-2003
Indexing Officer: ADAO - ANH-VU DAO
Team: OIPEBackFileIndexing
Dossier: 09539486

Legal Date: 09-10-2002

No.	Doccode	Number of pages
1	ABN	2

Total number of pages: 2

Remarks:

Order of re-scan issued on